



PATENT

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: CATHERINE A. MORLEY ET. AL.	Examiner:
Serial No.: 10/040,282	Group Art Unit: 1746
Filed: October 19, 2001	Docket No. 17310-239350
For: PROCESS FOR CONTROL OF CONTOURS FORMED BY ETCHING SUBSTRATES	

Office of Initial Patent Examination
Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT


Dear Sir:

The above-identified Applicants respectfully request that the corrections identified in the attached copy of the Filing Receipt be made after taking into account the Response to Notice to File Missing Parts filed concurrently herewith.

Respectfully Submitted,

CATHERINE A. MORLEY ET. AL.

By:


Paul W. Busse, #32,403
FAEGRE & BENSON LLP
2200 Wells Fargo Center
90 South Seventh Street
Minneapolis, MN 55402-3901
612/766-7046

Dated: April 1, 2002

M2:20448527.01



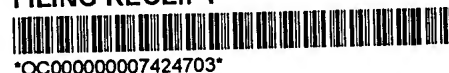
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/040,282 ✓	10/19/2001 ✓	1746	0.00	17310- 239350 ✓	13 ✓	15 ✓	4 ✓

CONFIRMATION NO. 9624

FILING RECEIPT



OC00000007424703

Paul W. Busse ✓
FAEGRE & BENSON LLP
2200 Wells Fargo Center
90 South Seventh Street
Minneapolis, MN 55402-3901

RECEIVED
FEB 11 2002
YRT

Date Mailed: 02/05/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Catherine A. Morley, ~~Residence Not Provided~~, Lester Prairie, Minnesota
Mark P. Sponholz, Minneapolis, Minnesota
Steven R. Young, Hutchinson, Minnesota

✓ Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/241,639 10/19/2000

Foreign Applications

If Required, Foreign Filing License Granted 02/05/2002

✓ Projected Publication Date: Request for Non-Publication Acknowledged

✓ Non-Publication Request: Yes

✓ Early Publication Request: No

✓ Title

Process for control of contours formed by etching substrates

Preliminary Class

216

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Receipt
#3
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	CATHERINE A. MORLEY ET. AL.	Examiner:	
Serial No.:	10/040,282	Group Art Unit:	1746
Filed:	October 19, 2001	Docket No.	17310-239350
For:	PROCESS FOR CONTROL OF CONTOURS FORMED BY ETCHING SUBSTRATES		

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

I CERTIFY THAT, ON APRIL 1, 2002, THIS PAPER IS BEING
DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS
MAIL IN AN ENVELOPE ADDRESSED TO THE COMMISSIONER FOR
PATENTS, WASHINGTON, D.C. 20231.

Kimberly L. Bzdek

**RESPONSE TO NOTICE TO FILE MISSING
PARTS OF NONPROVISIONAL APPLICATION
UNDER 37 C.F.R. § 1.53(b)**

Dear Sir/Madam:

In response to the Notice to File Missing Parts of Nonprovisional Application Filed
Under 37 CFR 1.53(b), Filing Date Granted, mailed October 19, 2001, Applicant has enclosed herewith
the following documents to complete the above-identified application filed October 19, 2001:

1. Copy of Notice to File Missing Parts of Nonprovisional Application (1 page);
2. Request for Corrected Filing Receipt with corrected filing receipt (3 pages);
3. Credit Card Payment Form authorizing payment of in the amount of \$1,174.00
(\$954.00 filing fee, \$40.00 assignment recordation fee, and \$180.00 Information
Disclosure Statement fee) (1 page);
4. Declaration and Power of Attorney (3 pages);
5. Assignment, including Recordation Form Cover Sheet (3 pages); and
6. Information Disclosure Statement with cited references.

04/18/2002 HMOHAMM1 00000004 060029 10040282

01 FC:101	740.00 CH
02 FC:102	84.00 CH
03 FC:105	130.00 CH

If the amounts submitted herewith are incorrect, the Commissioner is authorized to credit any overpayment or charge any deficit to our Deposit Account No. 06-0029 and notify us of the same.

Respectfully Submitted,

CATHERINE A. MORLEY ET. AL.

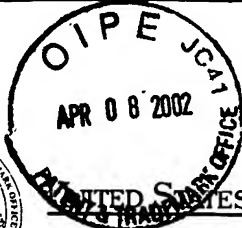
By:



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Dated: April 1, 2002

M2:20448527.01



#3

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/040,282	10/19/2001	Catherine A. Morley	17310-239350

CONFIRMATION NO. 9624

FORMALITIES LETTER



OC000000007424704

Paul W. Busse
FAEGRE & BENSON LLP
2200 Wells Fargo Center
90 South Seventh Street
Minneapolis, MN 55402-3901

Date Mailed: 02/05/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$84.
 - \$84 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 954.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE